## **PATENT**

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Evan C. Unger, et al.	)
U.S. Serial No. 10/802,919	) Examiner: TBA
Filed: March 18, 2004	) Atty. Dkt. No. 006086.00020
For: METHODS FOR DELIVERIN	IG COMPOUNDS INTO A CELL

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O.B. 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, enclosed is Form PTO/SB/08a listing documents for consideration by the Examiner during the prosecution of the subject application. All cited art was previously disclosed or cited in parent application Serial No. 08,841,169 filed April 29, 1997. Copies of the cited art are available in the parent application.

It is believed that no fee or certification is required. However, if a fee is required, please charge our deposit account no. 19-0733.

Respectfully submitted,

Dated: June 28, 2004

By:

Reg. No. 32,141

Banner & Witcoff, Ltd. Customer No. 22907

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO Application Number **TBA** INFORMATION DISCLOSURE Filing Date **TBA** STATEMENT BY APPLICANT Evan C. Unger, et al First Named Inventor Art Unit **TBA** (use as many sheets as necessary) **Examiner Name TBA** Sheet 006086.00020 of 71 Attorney Docket Number

JUN 2 8 200 **U.S. PATENT DOCUMENTS** Name of Patentee or Applicant of Cited Document **Document Number** Pages, Columns, Lines, Where Relevant **Publication Date** Cite MM-DD-YYYY Passages or Relevant Initials No. Number - Kind Code2 (if known) Figures Appear 12/08/1997 Unger, et al. US-5,846,517 US- 5,705,187 01/06/1998 Unger 06/30/2998 US-5,773,024 Unger, et al. 06/23/1998 US-5,770,222 Unger, et al. US-5,830,430 11/03/1998 Unger, et al. US-5,997,898 12/07/1999 Unger US-6,033,645 03/07/2000 Unger, et al. US-6,088,613 07/11/2000 Unger US-6,123,923 09/26/2000 Unger, et al. US-6,139,819 10/31/2000 Unger, et al. US-6,143,276 11/07/2000 Unger US-6,403,056 06/11/2002 Unger US-6,414,439 07/02/2002 Unger, et al. US-US-US-US-US-US-US-

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Page 2 of 71

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	AB	5,194,188	03/16/93	Guitierrez	264	4.1		
	AC	5,283,255	02/01/94	Levy, et al.	514	410		
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	AF	6,159,445	12/12/00	Klaveness, et al.	424	9.6		
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Page 5 of 71

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	AC	4,919,895	04/24/90	Heldebrant et al.	422	129
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	AK	6,056,938	05/02/00	Unger et al.	424	1.21
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Page 12 of 71

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Page 14 of 71

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Page 18 of 71

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Page 22 of 71

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Page 25 of 71

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Page 30 of 71

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Page 32 of 71

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Page 57 of 71

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	Form PTO-1449 Modified List of Patents and Publications				Docket No. -006086.00020			Serial No. TBA		
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Examiner Initial		Document No.	Date	Nam	e		Clas	s	Subc	lass
	GT	4,544,545	10/1/85	Rya	Ryan et al.		424		1.1	
	GU	4,331,654	5/25/82	Mor	Morris		424		38	
	GV	3,532,500	10/6/70	Pri	Priest et al.		96		91	
	GW	4,310,506	1/12/82	Balo	Baldeschwieler et al.		424	424 1		
	GX	4,310,505	1/12/82	Balo	Baldeschwieler et al.		424	1		
<u> </u>	GY	4,586,512	5/6/86	Do-	Do-huu et al.		128	660		
	GZ	4,620,546	11/4/86	Aid	Aida et al.		128	660		
	на	4,658,828	4/21/87	Dor	У		128	660		
	нв	4,865,836	9/12/89	Lon	g, Jr.	·	424		5	
	НC	4,646,756	3/3/87	Wat	mough	et al.	128		804	
		F	OREIGN PA	ATENT	DOCUME	NTS				
Examiner Initial		Document I	No.	Date		Country		Tra: YES	nslati	ion NO
	HD	WO 89/0504	40	6/1/8	19	PCT		Х		
	HE	WO 86/0023	38	1/16/	′86	PCT		Х		
	HF	WO 90/049	13	5/17/	90	PCT		Х		
	HG	WO 80/023	65	11/13	8/80	PCT		Х		<del></del>
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Page 58 of 71

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Examiner Initial		Document No.	Date		Name		Cla	ass	Sul	ocla	SS
	нн	4,893,624	1/16/	16/90 Lele		128	8	399	)		
	HI	4,689,986	9/1/8	9/1/87 Carson		et al.	73		19		1
,	НJ	4,657,756	4/14/	/87 Rasor et a		et al.	424	1	9		
	HK	4,781,871	11/1/88		West I	II et al.	264		4.3		
	HL	4,957,656	9/18/90		Cerny et al.		252		311		
	НМ	4,830,858	5/16/89		Payne	ne et al.		424		450	
	HN	4,684,479	8/4/8	37	D'Arri	go	252		307		
	но	5,215,680	6/1/9	3	D'Arri	go	252	2	307	)7	
	НР	5,171,755	12/15	/92	Kaufma	n	514	1	759	)	
	HQ	4,898;734	2/6/9	0	Mathiow	itz et al.	424	1	426	5	
		FOR	EIGN I	PATE	NT DOCUM	ENTS					
Examiner Initial		Document 1	No.	Dat	:e	Country		Tran YES	slat	ion	NO
	HR	WO 93/058	19	1/4	:/93	PCT		Х			
	нѕ	WO 93/068	69	4/1	.5/93	PCT		Х			
	нт	WO 93/208	02	10/	28/93	PCT		Х			·····
	HU	SHO 63-60	943	3/1	7/88	Japan		Х			
	HV	0 554 213	A1	8/4	:/93	EPO		Х			
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Page 59 of 71

List of Pat	Form PTO-1449 Modified  List of Patents and Publications  Cited by Applicant				Docket No. Serial No. TBA					
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Examiner Initial		Document No.	Date		Name		Clas	ss	Subc	class
	HW	4,776,991	10/11/88		Farmer	et al.	264		4.3	
	нх	5,088,499	02/18/92		Unger		128		662.	2
	НҮ	5,194,266	03/16/93		Abra et al.		424		450	
	HZ-	5,198,225	03/30/93		Meybeck et al.		424		450	
	IA	4,927,623	5/22/90		Long, Jr.		424		5	
	IB	4,442,843	4/17/84		Rasor et al.		128		660	
	IC	4,681,119	7/21/8	7	Rasor et al.		128		660	
	ID	5,049,388	9/17/9	1	Knight et al.		424		450	
	IE	4,315,514	2/16/8	2	Drewes	et al.	128		653	
	IF	4,569,836	2/11/8	6	Gordon		424		1/1	
		FOR	EIGN PA	TEN	T DOCUME	nts				
Examiner Initial		Document No	o.	Dat	:e.	Country		Tra YES	nslati S	lon NO
	IG	0 314 764 I	31	9/9	9/92	EPO		Х		
·	IH	0 458 745 2	A1	11/	/27/91	EPO		х		
	II	0 216 730		1/2	23/91	EPO		х		
	IJ	WO 93/1380	9	7/2	22/93	PCT	Х			<u> </u>
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Page 60 of 71

Form	PTO-1449	Modified

List of Patents and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce Patent and Trademark Office Docket No. 006086.00020

Serial No. TBA

Applicant

Evan C. Unger, et al

Filing Date TBA

Group TBA

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	IK	4,426,330	1/17/84	Sears	260	403
	ΙL	4,534,899	8/13/85	Sears	260	403
	IM	5,271,928	12/21/93	Schneider et al.	424	9
	IN	4,229,360	10/21/80	Schneider et al.	260	403
	10	B1 4,229,360	11/5/91	Schneider et al.	260	403
	IP	4,089,801	5/16/78	Schneider	252	316
	IQ	4,224,179	9/23/80	Schneider	252	316
	IR	4,987,154	1/22/91	Long, Jr.	514	772
	IS	5,219,538	6/15/93	Henderson et al.	428	402.2
	ΙΤ	5,316,771	5/31/94	Barenholz et al.	424	450

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Examiner Initial		Document No.	Date	Country	Translat: YES	ion NO
	ΙU	WO 92/10166	6/25/92	PCT	X	
	IV	0 324 938	7/26/89	EPO	х	
	IW	0 231 091	8/5/87	EPO	х	
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Páge 61 of 71

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				9/16/93	PCT		Х			
				10/15/92	PCT		Х			
<del></del>	JJ WO 92/17436				PCT		Х			
	JI	WO 92/172:		10/15/92	PCT	·;·····	Х			
	JH	WO 92/172:	12	10/15/92	PCT		X			
Examiner Initial	i	Document 1	No.	Date	Country		Translat YES	ion NO		
		F	OREIGN PA	ATENT DOCUME	ENTS					
	JG	5,000,960	3/19/91	Wallach		424	450			
	JF	5,013,556	5/7/91	Woodle et	al.	424	450			
	JE	5,114,703	5/19/92	Wolf et al.		424	5			
	JD	5,008,050	4/16/91	Cullis et al.		264	4.3			
	JC	4,895,719	1/23/90	Radhakris	Radhakrishnan		45			
	JВ	5,213,804	5/25/93	Martin et	Martin et al.		450			
	JA	4,877,561	10/31/89	Iga et al	Iga et al.		4.3			
	IZ 5,045,304 9/3/91		Schneider	et al.	424	9				
	IY 3,873,564 3/25/75				et al.	260	309.	6		
	IX	5,195,520	3/23/93	Schlief e	et al.	128	660.	02		
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Page 62 of 71

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. <u>.</u>	JM	5,310,540 5/10/94			Giddey et	al.	424		9	
	JN 5,425,366 6/20/95					et al.	128		662.0	)2
	JO	4,775,522	10/4/88		Clark, Jr	•	424		9	
	JP	4,731,239	3/15/88		Gordon		424		9	
	JQ	5,350,571	9/27/94		Kaufman et al.		424		9	
	JR	4,615,879	10/7/86		Runge et al.		424		9	
	JS	5,380,519	1/10/95		Schneider	et al.	424		9	
	JT	5,393,524	2/28/95		Quay		424		9	
	JU	5,196,183	3/23/93		Yudelson et al.		424 9		9	
	JV	4,996,041	2/26/91		Arai et a	1.	424		9	
		F	OREIGN PA	ATE	ENT DOCUME	nts				
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	JW	WO 94/1673	39	8,	/4/94	PCT		х		
	JX	WO 95/070	72	3,	/16/95	PCT		Partial -claims only		
JY EP 0 077 752 B1			3,	/5/86	EP		-c	rtial laims		
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	JZ	5,147,631	5,147,631 9/15/92		Glajch et	al.	424		9		
	KA 5,186,922 2/16/93				Shell et	al.	128		654		
	КВ	4,428,924	1/31/84		Millingto	n	424		4		
	KC	5,413,774	5/9/95		Schneider	et al.	424		9.51		
	KD	5,409,688	4/25/95		Quay		424	9			
	KE	5,410,516	4/25/95		Uhlendorf	et al.	367	7			
	KF	5,305,757	4/26/94		Unger et al.		128		662.0	2	
·	KG	5,334,381	8/2/94		Unger		424 9		9		
	KH	5,123,414	6/23/92		Unger		128		654		
	KI	5,433,204	7/18/95	į	Olson		128		661.0	8	
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	КJ	WO 90/043	34	5	/3/90	PCT		х			
	KK	WO 94/098:	29	5	/11/94	PCT	· · · ·	Х			
	KL	WO 94/213	02	9	/29/94	PCT		X			
	KM	WO 91/128	23	9	/5/91	PCT		Х			
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	KO	5,445,813	8/29/95		Schneider	et al.	424		9.51		
	KP 5,141,738 8/25/92				Rasor et	al.	424		2		
·	KQ	4,981,692	1/1/91		Popescu e	t al.	424		422		
·	KR	5,149,319	9/22/92		Unger		604		22		
	KS	5,209,720	5,209,720 5/11/93		Unger		604	604 22		`	
	KТ	5,228,446	7/20/93		Unger et al.		128 662.		662.0	.02	
	KU	5,230,882	7/27/93		Unger		424 9		9	-	
	ΚV	5,352,435	10/4/94		Unger		424 9		9		
	KW	5,190,982	3/2/93		Erbel et al.		521		56		
	KX	5,137,928	8/11/92		Erbel et	al.	521		56		
,		F	OREIGN PA	ATE	NT DOCUME	NTS					
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	KY	0 320 433	A2	12	2/2/88	EPO			bstract nly		
	KZ	0 467 031	A2	5/	13/91	EPO		Х			
	LA	0 243 947		4/	29/87	EPO		х			
	LB	WO 96/040	18	2/	15/96	PCT		х			
	LC WO 96/09793				4/96	PCT		X	· · · · · · · · · · · · · · · · · · ·		
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Page 65 of 71

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	LD	5,205,287	5,287 4/27/93		al.	128	632			
	LE	5,470,582	11/28/95	Supersaxo	et al.	424	489			
	LF 5,529,766 6/25/96			Klaveness	et al.	424	9.52			
-	LG	5,487,390	1/30/96	Cohen et	al.	128	662.	02		
	LH	5,531,980	7/2/96	Schneider	Schneider et al.		9.52			
	LI	5,536,489	7/16/96	Lohrmann	Lohrmann et al.		9.52			
	LJ	5,536,490	7/16/96	Klaveness	Klaveness et al.		9.52	-		
	LK	5,315,997	5/31/94	Widder et al.		128	653.	3		
	LL	5,469,854	11/28/95	Unger et al.		128	662.	02		
	LM	5,505,932	4/9/96	Grinstaff	et al.	424	9.3	:		
		F	OREIGN PA	ATENT DOCUME	ENTS					
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	LN	WO 95/065	18	3/9/95	PCT		Х			
	LO	EP 441,46	3 A2	8/14/91	EPO		Х	ļ		
	LP	EP 357,163	3 A1	3/7/90	EPO	•	Х			
	LQ	EP 0 727	225 A2	8/21/96	EPO		Х			
	LR	WO 94/064	77	03/31/94	PCT		<b>X</b> -			
EXAMINER				DATE COM	NSIDERED					

Page 66 of 71

				·	Page 6	6 of 71		
F	orm	PTO-1449 Modifi	.ed	Docket No. 006086.00020	Serial TBA	No.		
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	LS	5,540,909	7/30/96	Schutt	424	9.52		
and the same of th	LT	5,496,535	3/5/96	Kirkland	424	9.37		
	LU	5,344,930	9/6/94	Riess et al.	544	84		
	LV	5,560,364	10/1/96	Porter	128	662.02		
	LW	5,562,893	10/08/96	Lohrmann	424	9.52		
	LX	5,567,413	10/22/96	Klaveness et al.	424	9.51		
	LY	5,567,414	10/22/96	Schneider et al.	424	9.52		
	LZ	5,567,765	10/22/96	Moore et al.	524	801		
	MA	5,552,133	09/03/96	Lambert et al.	424	9.52		
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	MB	WO 95/23615	09/08/95	PCT	Х			
	MC	62 286534	12/87	Japan	X (Abstract Only)			
	MD	1,044,680	10/66	Great Britain	х			
	ME	WO 96/36286	11/21/96	PCT	х			
	MF	WO 92/11873	7/23/92	PCT	X (Abstract Only)			
EXAMINER				DATE CONSIDERED				

Page 67 of 71

F	orm	PTO-1449 Modifi	.ed	Docket No. 006086.00020	Serial TBA	No.	
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	MG	3,015,128	01/02/62	Sommerville et al.	18	2.6	
	MH	3,594,326	07/20/71	Himmel et al.	252	316	
	MI	3,732,172	05/08/73	Herbig et al.	252	316	
	MJ	3,945,956	03/23/76	Garner	260	2.5B	
	MK	4,108,806	08/22/78	Cohrs et al.	521	54	
	ML	4,179,546	12/18/79	Garner et al.	521	56	
	MM	4,420,442	12/13/83	Sands	264	13	
	MN	4,421,562	12/20/83	Sands et al.	106	75	
	МО	4,540,629	09/10/85	Sands et al.	428	402	
	МР	4,549,892	10/29/85	Baker et al.	65	21.4	
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	MQ	WO 90/01952	3/8/90	PCT	X (Abstract Only)		
	MR	AU-B-30351/89	3/18/93	Australia	Х		
	MS	WO 93/00933	01/21/93	PCT	X		
	МТ	WO 94/28780	12/22/94	PCT	Х		
	MU	WO 89/02464	03/23/89	PCT	Х		
	MV	AU 641363	03/08/90	Australia	х		
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	MW	5,019,370	05/28/91	Jay et al.	424	4	
	MX	5,205,290	04/27/93	Unger	128	653.4	
	MY.	4,822,534	04/18/89	Lencki et al.	264	4.3	
	MZ	3,293,114	12/20/66	Kenaga et al.	162	168	
	NA	3,479,811	11/25/69	Walters	57	153	
	NB	3,488,714	01/06/70	Walters et al.	161	161	
	NC .	3,615,972	10/26/71	Morehouse et al.	156	79	
	ND	5,078,994	01/07/92	Nair et al.	424	501	
	NE	4,138,383	02/06/79	Rembaum et al.	260	29.7H	
	NF	4,789,501	12/06/88	Day et al.	252	645	
	NG	3,960,583	06/01/76	Netting et al.	106	122	
		FORE	IGN PATENT	DOCUMENTS		-	
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Page 69 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Evan C. Unger, et al (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA U. S. PATENT DOCUMENTS Examiner Document Date Name Class Subclass Initial No. NH 5,362,478 11/8/94 Desai et al. 424 9 NI 5,281,408 1/25/94 Unger 424 4 NJ 5,456,900 10/10/95 Unger 424 9.4 NK 5,527,521 6/18/96 Unger 424 93 5,547,656 NL8/20/96 Unger 424 9.4 MM 10/25/94 5,358,702 Unger 424 NN | 4,985,550 1/15/91 Charpiot et al. 536 18.4 NO 3/12/96 Grinstaff et al. 5,498,421 424 450 NP 4,993,415 2/19/91 Long 128 653 A NQ 5,573,751 11/12/96 424 9.52 Quay NR 5,606,973 03/04/97 Lambert et al. 128 662.02 FOREIGN PATENT DOCUMENTS Examiner Document No. Date Country Translation Initial YES **EXAMINER** DATE CONSIDERED

Page 70 of 71

F	orm	PTO-1449 Modifi	ed	Docket No. 006086.00020	Serial TBA	No.	
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		υ.	S. PATENT	DOCUMENTS		<del>-</del>	
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	NS	5,558,094	09/24/96	Quay	128	662.02	
	NT	5,558,853	09/24/96	Quay	424	9.5	
	NU	5,558,854	09/24/96	Quay	424	9.52	
	NV	5,558,855	09/24/96	Quay	424	9.5	
	NW	5,595,723	01/21/97	Quay	424	9.5	
	NX	5,585,112	12/17/96	Unger et al.	424	450	
	NY	5,556,610	09/17/96	Yan et al.	424	9.52	
	NZ	5,578,292	11/25/96	Schneider et al.	424	9.51	
	OA	4,933,121	06/12/90	Law et al.	264	4.3	
	ОВ	5,315,998	05/31/96	Tachibana et al.	128	660.01	
	OC	5,620,689	04/15/97	Allen et al.	424	178.1	
	OD	5,643,553	07/01/97	Schneider et al.	424	9.52	
	OE	5,502,094	03/26/96	Moore et al.	524	145	
	OF	4,265,251	05/05/81	Tickner	128	660	
	OG	5,354,549	10/11/94	Klaveness et al.	424	3	
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Page 71 of 71

F	orm	PTO-1449 Modifi	ed	Docket No. 006086.00020	Serial			
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	ОН	5,501,863	03/26/96	Rössling et al.	424	489		
	OI	5,605,673	02/25/97	Schutt et al.	424	9.51		
	OJ	5,626,833	05/06/97	Schutt et al.	424	9.52		
	ОК	5,639,443	06/17/97	Schutt et al.	424	9.52		
	OL	3,650,831	03/21/72	Jungermann et al.	134	27		
	ОМ	3,968,203	07/06/76	Spitzer et al.	424	47		
	ON	4,027,007	05/31/77	Messina	424	46		
	00	5,205,290	04/27/93	Unger	128	653.4		
	OP	5,562,892	10/08/96	Kirk et al.	423	555 .		
	OQ	5,276,145	01/04/94	Bottenstein	530	399		
	OR	5,532,108	07/02/96	Volgelstein	435	240.2		
	os	5,539,094	07/23/96	Reed et al.	536	23.5		
	OT	5,542,935	08/06/96	Unger et al.	604	190		
-	OU	5,558,092	09/24/96	Unger et al.	128	660.03		
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